

## HARNESS RACING AUSTRALIA INC

### Australian Trotting Stud Book Regulations Governing the Entry of Sires, Dams and Progeny

#### Stud Book Regulation 1

In the interpretation of these Regulations the following words shall mean:-

***“Artificial breeding”*** means artificial breeding as defined by Australian Harness Racing Rule 281.

***“Harness Racing Australia”*** or ***“Council”*** means Harness Racing Australia as defined by the Australian Harness Racing Rules.

***“Breeder”***

- (a) In the case of a foal which is the result of an embryo transfer, the registered owner or lessee of the donor mare at the time the embryo is flushed from such donor mare and impregnated into a recipient mare.
- (b) In all other cases, the registered owner or lessee of the dam at the time of last date of service.

***“Foal Died”*** is a foal that is still born, dies during birth or does not stand and suckle after birth.

***“Foreign horse”*** means a horse foaled outside Australia.

***“Keeper of the Stud Book”*** is the person appointed by Harness Racing Australia to keep records of sires, mares and foalings and to publish annual editions of the Stud Book in accordance with the Harness Racing Australia Rules of Association and these Regulations.

***“Live Foal”*** is a foal that stands and suckles after birth.

***“Registrar”*** is the person appointed by Harness Racing Australia to supervise the allocation of names in accordance with these Regulations.

**“Standardbred”** when used with reference to a horse means a horse which is the progeny of a registered sire and registered dam.

## **Stud Book Regulation 2**

**“The Australian Trotting Stud Book”** shall be regulated by the Council through the Keeper of the Stud Book who shall keep such records in such format as is necessary to produce an annual Stud Book. The information contained in each annual Stud Book will comprise a record of all standardbreds active in breeding and racing and all horses named subsequent to the publication of the previous Stud Book together with such information and records as may be deemed desirable and as contained in Schedule A.

## **Stud Book Regulation 3**

A Member of Council shall not permit any horse to participate in any breeding or racing activities in its jurisdiction unless and until it has a name approved by the Registrar of Names in accordance with the provisions for the naming of horses as contained in Schedule B.

## **Stud Book Regulation 4**

A horse shall not be eligible for registration and naming unless:-

- (a) It is the progeny of a standardbred sire and dam named and registered in accordance with the Rules and these Regulations, and
- (b) It is freeze branded or otherwise identified by a method approved by Council, and
- (c) A blood sample or other bodily sample has been taken for blood typing or D.N.A. genotyping at an approved laboratory and the results thereof are lodged with the Council, and
- (d) It is progeny that conforms to the following genetic principles:-
  - i. Chestnut colouration is recessive to all other colours and therefore any progeny that is not chestnut from a chestnut to chestnut mating is not eligible for registration;
  - ii. Grey colouration is usually dominant in relation to all the basic colours and therefore any progeny that is grey is not eligible for registration unless at least one of its parents is also grey.

Notwithstanding sub-clauses (i) and (ii) such progeny will be eligible for registration in the event that blood typing and / or D.N.A. genotyping establishes to the satisfaction of the Council that the horse in dispute is the progeny of the said sire and dam.

### **Stud Book Regulation 5**

- (a) A horse shall not be eligible for naming if the foaling of such horse has been notified after it attains the age of one year unless such horse's parentage has been verified by blood typing tests or D.N.A. genotyping at an approved blood typing laboratory.
- (b) A horse shall not be eligible for naming if the foaling of such horse is notified after it attains the age of two years, except where an injustice would occur and the approval of registration has been recommended by a Member of Council.

### **Stud Book Regulation 6**

Regulation Repealed 13.10.2014

### **Stud Book Regulation 7**

The provisions of Regulation 5 shall apply to any foreign horse.

### **Stud Book Regulation 8**

- (a) A foreign horse shall not be eligible for registration in Australia until such time as:-
  - i. Harness Racing Australia receives a clearance certificate from the country in which such foreign horse was last registered, and
  - ii. The appropriate clearance registration is paid, and
  - iii. It is freeze branded with a freeze branding code or identified in some other manner approved by Council.

**Provided That** the Council may exempt foreign horses from the necessity of freeze branding:-

- 1. If such foreign horse is cleared for racing purposes and the Council is satisfied that such horse will be subsequently cleared back to the country of origin; OR

2. Such foreign horse bears a legible lip tattoo.

(b) A foreign horse shall be eligible for racing in Australia provided such horse:-

- i. Is registered with the controlling trotting authority of the country they were cleared from;
- ii. Is a standardbred;
- iii. Has been parentage verified by blood typing or DNA genotyping.

### **Stud Book Regulation 9**

Regulation repealed 28.10.2013

### **Stud Book Regulation 10**

A sire or dam or their progeny shall not be eligible for any National Award unless it is named and registered in accordance with the Rules and these Regulations.

### **Stud Book Regulation 11**

In the event of any breach of these Regulations the Council may direct that any sire, dam or their progeny be ineligible for either naming or entry in the Stud Book, or set down conditions or require undertakings before a specific horse is named or before a sire, dam or their progeny are entered in the Stud Book.

## **Schedule A – Stud Book**

The Australian Trotting Stud Book will consist of the following tables and the Council may add such additional tables and/or information in its discretion.

### **Table 1 – Sires**

#### ***Part A - General List***

This part shall comprise standardbred sires that have sired progeny or winners subsequent to the publication of the previous Stud Book.

The entry therein shall include a distinctive number, best racing or time trial performance, colour, year of foaling and pedigree to second generation and the breeder's name and the State of domicile.

The entry shall also include a list of the names of mares that have produced to the sire concerned together with an annual updated list of winners and their best performances.

Qualifying Trial times shall not be recognised.

#### ***Part B - Tabulated Pedigrees of Imported Stallions***

This section shall include all those stallions to be used at Stud from North America and Europe and its purpose is to record the breeding of sires and dams in the stallions' pedigrees, which are not otherwise chronicled in the Stud Book.

### **Table 2 - Dams**

All standardbred mares producing a foal to a standardbred sire or having a previously notified foal named or having produced with new or reduced time performance or increased stakemoney subsequent to the publication of the previous Stud Book shall be automatically entered in the next following Stud Book.

The mares' entry will parallel that of a sires entry and the breeders name of such of her foals will also be recorded.

Qualifying Trial times shall not be recognised.

**Table 3 – Index to Registrations**

All horses whose names have been issued and/or approved by the Registrar since the publication of the previous Stud Book shall be entered in the next published Stud Book in the table called Index to Registrations. The entry shall include the allotted name, colour/sex, year of foaling and the breeding thereof. All such approved names shall also be entered against the dams record of foalings.

**General Provisions**

Winning performances in a race and against time endorsed by Council shall be the only time performances recorded in the Stud Book.

Times for races less than a mile shall not be recorded. The style, form, layout of the Stud Book shall be at the general discretion of the Keeper of the Stud Book.

## **Schedule B – Allocation of Names**

### **Naming of Australian Bred Horses**

1. The Registrar shall allocate the first available name of any list of names submitted by State Controlling Body after having first eliminated:-
  - (a) Any existing names of trotting or pacing horses appearing on the Australian name register or the name of any past renowned racetrack performer as identified in the [Protected Name Lists – Renowned Winners](#) and [Oaks / Derby Winners](#) or as a winner of a race specified in **the Harness Racing Australia Racing Chronicles**.
  - (b) Any name having political, religious, sacred or commercial significance or that is otherwise inappropriate.
  - (c) Any name of indecent, undignified or irreverent character in English or any other language.
  - (d) Any name having single initials or numerals, either as a whole name or used as a part of a name with the exception of the letter “A” or “I”(overseas horses excepted).
  - (e) Any name likely to cause confusion by reason that it sounds or reads the same as an existing name.
  - (f) Any name of a well known living or dead person the naming of which would be objectionable to the persons concerned, to relatives or to others in general. Names of well-known persons, living or dead are acceptable if written permission has been obtained from either the person (if they are living) or from the family (if they are deceased). The naming applicant is responsible for obtaining written permission.
  - (g) Any name of an Australian Institution or Organisation, or any name which does or may infringe trademark or copyright. (Including songs, movies, books & TV shows).
  - (h) Any name the reverse of which is the name of a horse currently racing.
  - (i) Any name likely to cause confusion in breeding records by reason that it is the duplicate of a name prominent in breeding or racing outside Australia.
  - (j) The name of any Sire or Dam in the Australian Trotting Stud Book.

- (k) Any name more than eighteen letters in length (including spaces).
  - (l) Any name in any other language than English where the meaning cannot be proven.
  - (m) Any name that is difficult to pronounce in English or any other language.
2. The following are not permitted to be used in a name:-
- (a) Apostrophes, full stops, dashes, characters, numbers and symbols – eg, hyphens (-), acutes (é), circumflexes (ê), cedilla (ç), graves (è), umlauts (ä), etc.
  - (b) Abbreviations such as Mr, Mrs, Ms, Dr . The words must be spelt out in full.
3. The Registrar may correct any misspelt or incorrect renderings of a word or phrase.
4. Names submitted using part or all of the Sire and / or Dam name should be spelt the same as the Sire and / or Dam name.
5. If all names on a list are eliminated the Registrar shall call for a new list to be submitted.
6. The Registrar shall refuse to allocate a name to any horse unless:-
- (a) It is submitted through any of the State Controlling Bodies.
  - (b) It is the progeny of Standardbred Sire and Dam.
  - (c) It has been Parentage Verified for foals 2002 and later (if foaled prior to 2002 a name may be allocated at the Registrar's discretion).
  - (d) It is freeze branded or otherwise identified by a method approved by Council.
7. The Controlling Body of each State will have incorporated on its standard name application form an acknowledgement and acceptance by the owner of the horse of complete legal liability should a name be submitted and name be allocated which infringes any trademark or copyright.



8. The Registrar of a State Controlling Body submitting a list of names shall have the right to delete a name or names prior to the submission of such a list to the Registrar. However, a name once allocated shall not be changed unless it is in accordance with Rule 9.
9. A horse's name may be changed in the following circumstances:-
  - (a) The owner of a yearling that has been allocated a name by the Registrar shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial, race or bred and the change is made before the end of its yearling season. Any cancelled name will be made available for re-use after 12 months.
  - (b) The owner of a horse 2 years old or older that has been allocated a name by the Registrar shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial or race or has not bred. Any cancelled name will be made available for re-use after 12 months. (effective 1 May 2015)
10. The owner of a horse 2YO or older that has been allocated a name by the Registrar and has competed in an official trial in any country can apply to change the horse's name by submitting an application in writing to the Registrar outlining reasons for wanting the change. The application will be considered by the Keeper of the Stud Book and the Registrar, and if approved, will require payment of the prescribed fee(s). Any cancelled name will be made available for re-use after 12 months. (effective 1 May 2015)

### **Foreign Horses**

11. (a) Upon the receipt of a Clearance Certificate a foreign bred horse shall be allocated its existing name and in addition shall have a suffix added to its name to denote the country of origin.
- (b) The suffix to be added to the name shall be as follows:-

New Zealand	NZ	Ireland	IRL
United States	USA	Italy	ITA
Canada	CA	Malta	MLT
Europe:-		Netherlands	NLD
Austria	AUT	Norway	NOR
Belgium	BEL	Russia	RUS
Denmark	DNK	Sweden	SWE
Finland	FIN	Switzerland	SUI
France	FRA	United Kingdom	GBR
Germany	GER		

- (c) The name of a foreign horse will be checked by the Registrar for verification and recording and the Registrar shall advise the State Controlling Body that the name is available or otherwise.
- (d) If the name is not available then a prefix will be applied, and under certain circumstances a new name may be requested by the Registrar. In such case where a name is changed the suffix applicable shall be added to the new name.
- (e) If the name of a foreign horse is of a well known living or deceased Australian person, permission will be obtained by Harness Racing Australia from either the person (if they are living) or from the family (if they are deceased) to allow the name in Australia. Otherwise a name change may be requested by the Registrar.
- (f) The owner of a yearling bred outside Australia shall upon payment of the prescribed fee(s) be permitted to change the name provided the horse has not competed in an official trial or race either in Australia or Overseas, has not bred and the change is made before the end of its yearling season in Australia.  
**Naming regulations 1-6 apply.**
- (g) The owner of a horse 2 years old or older bred outside Australia shall upon payment of the prescribed fee(s) be permitted to change the name provided that horse has not competed in an official trial or race either in Australia or overseas, or has not bred. (effective 1 May 2015)  
**Naming regulations 1-6 apply**
- (h) The owner of a horse 2YO or older bred outside Australia that has been allocated a name and has competed in an official trial in any country can apply to change the name by submitting an application in writing to the Registrar outlining reasons for wanting to make the change. The application will be considered by the Keeper of the Stud Book and the Registrar, and if approved, will require payment of the prescribed fee(s). Any cancelled name will be made available for re-use after 12 months. (effective 1 May 2015)
- (i) Apostrophes, dashes and dots which are part of a foreign horse name will be eliminated.

## **General**

12. If any matter arises that is not specifically covered by these Regulations then the Registrar may allow a name or a new name but shall report the circumstances to Council at the first opportunity for policy determination.
13. The Registrar shall have the authority to order a change of name wherever such a name is deemed necessary to comply with the context of these Rules.

## Schedule C – Additional Subscriptions

### **HRA Service Fees**

All Fees applicable from 1 July 2015

Code	Service	HRA Fee Inc GST
1.	<b>Allocation of Names</b>	\$27.50
2.	<b>Change of Name</b> - <b>Yearling</b> - <b>2YO and older</b> (horse has not raced, trialled or bred - effective 1 May 2015) - <b>2YO and older</b> (horse has trialled but not raced or bred - effective 1 May 2015)	\$148.50 \$286.00 \$495.00
3.	<b>DNA Test</b>	\$58.00
4.	<b>Clearances Overseas</b>	\$495.00
5.	<b>Registration of Foals</b>	\$27.50
6.	<b>Registration of Sires</b>	\$148.50
7.	<b>Registration of Imported Semen Stallion</b> <i>(Payable to HRA)</i>	\$770.00
8.	<b>Clearance Registration <i>(Payable to HRA)</i></b> - Horse/Gelding Filly/Mare (4YO & Under) Mare (5YO+) Short Stay* (less than 90 days)  <a href="#">Click here for the Clearance Registration Form</a>	\$2,244.00 \$1,683.00 \$280.50 \$561.00

- 1 - 6      The HRA fee is a component of the fee payable to the State Controlling Body.  
 7 & 8      The Fee is payable direct to Harness Racing Australia.  
 (\* The short stay import fee is only payable once per season.)